SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL

| OMB Number: | 3235-0287 | | | | | |
|--------------------------|-----------|--|--|--|--|--|
| Estimated average burden | | | | | | |
| hours per response: | 0.5 | | | | | |

| 1. Name and Address of Reporting Person [*] STANKOVICH THOMAS | | | 2. Issuer Name and Ticker or Trading Symbol <u>ReShape Lifesciences Inc.</u> [RSLS] | (Check | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner V Officer (give title Other (specify | | | | |
|---|---------|----------|---|------------------------|--|----------------|--|--|--|
| (Last) 1001 CALLE A | (First) | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year) 05/31/2022 | - X | below) below) Chief Financial Officer | | | | |
| (Street) SAN CLEMENTE | СА | 92673 | 4. If Amendment, Date of Original Filed (Month/Day/Year) | 6. Indiv Line) X | vidual or Joint/Group Fili Form filed by One Re Form filed by More th Person | porting Person | | | |
| (City) | (State) | (Zip) | | | | | | | |

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transa Code 8) | | 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) | | 5. Amount of Securities Beneficially Owned Following | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership | | |
|---|--|---|----------------------------|---|---|---------------|---|---|---|------------|--|
| | | | Code | v | Amount | (A) or (D) | Price | Reported Transaction(s) (Instr. 3 and 4) | | (Instr. 4) | |
| Common Stock, \$0.001 par value per share | 05/31/2022 | | Р | | 78 | A | \$0.87 | 345,705 | D | | |
| Common Stock, \$0.001 par value per share | 05/31/2022 | | Р | | 2,900 | Α | \$0.88 | 348,605 | D | | |
| Common Stock, \$0.001 par value per share | 05/31/2022 | | Р | | 200 | Α | \$0.89 | 348,805 | D | | |
| Common Stock, \$0.001 par value per share | 05/31/2022 | | Р | | 1,000 | Α | \$ 0.9 | 349,805 | D | | |
| Common Stock, \$0.001 par value per share | 05/31/2022 | | Р | | 7,995 | A | \$0.92 | 357,800 | D | | |
| Common Stock, \$0.001 par value per share | 05/31/2022 | | Р | | 60 | Α | \$0.93 | 357,860 | D | | |
| Common Stock, \$0.001 par value per share | 05/31/2022 | | Р | | 6,539 | Α | \$0.94 | 364,399 | D | | |
| Common Stock, \$0.001 par value per share | 05/31/2022 | | Р | | 1,228 | A | \$0.95 | 365,627 | D | | |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| | (e.g., puts, cans, warrants, options, convertible securities) | | | | | | | | | | | | | | | | | | | | | | | | |
|---|---|--|---|------------------------------|---|--|-------------|---------------------|--|-------|---|--|-----------------------|--|--|--|-----------------|--|---|--|---|---|--|--|--|
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transa Code (8) | | of Deriv Secu Acqu (A) o Disp of (D (Inst | of Expirati | | Expiration Date (Month/Day/Year) ecurities equired a) or (D) (D) (Str. 3, 4 | | ative (Month/Day/Year) irred ssed 3, 4 | | ration Date Amount of | | Expiration Date Am Month/Day/Year) Sec Unc Der Sec | | Expiration Date | | Expiration Date Amoun Month/Day/Year) Securit Underly Derivat Securit | | unt of rities rlying ative rity (Instr. | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
| | | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | | | | | | | | | | | | |

Explanation of Responses:

| <u>/s/</u> | Thomas | Stankovich | |
|------------|--------|------------|--|
| | | | |

** Signature of Reporting Person Date

06/01/2022

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.