SEC Form 4	
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## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)
Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

# OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

			or Section 30(h) of the Investment Company Act of 1940						
1. Name and Address of Reporting Person* <u>Plovanic William J.</u>			2. Issuer Name <b>and</b> Ticker or Trading Symbol OBALON THERAPEUTICS INC [ OBLN		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
					Director	10% Owner			
(1.001)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year)	X	Officer (give title below)	Other (specify below)			
(Last) C/O OBALON	(First) N THERAPEU	(Middle) TICS, INC.	05/15/2018		Chief Financial Officer				
5421 AVENID	A ENCINAS,	SUITE F		_					
(Ctro at)			4. If Amendment, Date of Original Filed (Month/Day/Year) 05/17/2018	6. Indi Line)	vidual or Joint/Group Fili	ing (Check Applicable			
(Street)	СА	92008		X	Form filed by One Re	eporting Person			
		52000			Form filed by More th Person	an One Reporting			
(City)	(State)	(Zip)							

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Table 1 Hon Bentralite Geounties Adquired, Disposed of, of Benenotary Owned										
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (	Transaction Disp Code (Instr. 5)		Acquired (D) (Instr	(A) or 3, 4 and	5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V		Amount (A) or (D)		Price	Transaction(s) (Instr. 3 and 4)		(1130.4)
Common Stock								15,000(1)	I	By IRA
Common Stock								15,000 <sup>(2)</sup>	I	By wife IRA

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

			(* 5 / 1*	,,				,			,				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		5. Nu of Deriv Secu Acqu (A) of Dispo of (D) (Instr and 5	vative rities ired r osed ) . 3, 4	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Due to a clerical error, the reporting person is filing this amendment to the Form 4 originally filed on May 17, 2018 (this "Amendment") to correct the amount of non-derivative securities beneficially owned from 24,700 to 15,000.

2. Due to a clerical error, the reporting person is filing this Amendment to correct the amount of non-derivative securities beneficially owned from 24,588 to 15,000.

Remarks:

#### <u>/s/ Nooshin Hussainy as</u>

attorney-in-fact for William J. 05/21/2018 Plovanic

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.